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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tongtong Wang et al.  
Application No. : 09/897,778  
Filed : June 28, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF LUNG CANCER

Examiner : Shin-Lin Chen  
Art Unit : 1632  
Docket No. : 210121.455C16  
Date : September 2, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Forms PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

09/05/2003 MBLANCO 00000018 09897778

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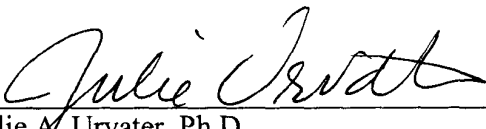
Please acknowledge receipt of this Fourth Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,

Tongtong Wang et al.

Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
Julie A. Urvater, Ph.D.  
Registration No. 50,461

JAU:ljt

Enclosures:

Postcard

Forms PTO-1449 (4 sheets)

Copies of Cited References (48)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

210121.455C16

APPLICATION NO.

09/897,778

FOURTH SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANTS

Tongtong Wang et al.

FILING DATE

June 28, 2001

GROUP ART UNIT

1632

SEP 02 2003

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
AE	✓	EP 679716 A1	11/02/95	EPO		
AF	✓	EP 1033401 A2	09/06/00	EPO		
AG	✓	WO 99/46594	09/16/99	WIPO		
AH	✓	WO 01/47944	07/05/01	WIPO		
AI	✓	WO 01/57272	08/09/01	WIPO		
AJ	✓	WO 01/57275	08/09/01	WIPO		
AK	✓	WO 01/57276	08/09/01	WIPO		
AL	✓	WO 01/57277	08/09/01	WIPO		
AM	✓	WO 01/75067	10/11/01	WIPO		

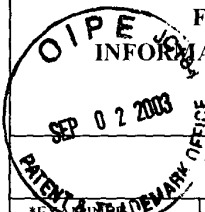
## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AN	GenBank Database, Accession No. AAB82295, October 30, 1997.
AO	GenBank Database, Accession No. AAB97457, January 22, 1998.
AP	GenBank Database, Accession No. AAC18597, June 3, 1998.
AQ	GenBank Database, Accession No. AAC18598, June 3, 1998.


EXAMINER

DATE CONSIDERED

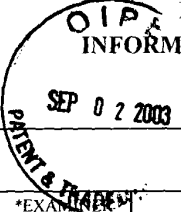
\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.455C16		APPLICATION NO. 09/897,778	
 <b>FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANTS Tongtong Wang et al.			
				FILING DATE June 28, 2001		GROUP ART UNIT 1632	
<b>U.S. PATENT DOCUMENTS</b>							
*EX INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES NO		
	BB						
<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
	BC	GenBank Database, Accession No. AAC35208, May 13, 1997.					
	BD	GenBank Database, Accession No. AAC41285, May 13, 1998.					
	BE	GenBank Database, Accession No. AAD09223, January 26, 1999.					
	BF	GenBank Database, Accession No. AAD09827, January 26, 1999.					
	BG	GenBank Database, Accession No. AAD09828, January 26, 1999.					
	BH	GenBank Database, Accession No. AAF37203, March 2, 2000.					
	BI	GenBank Database, Accession No. AC005082, September 8, 1999.					
	BJ	GenBank Database, Accession No. AC021876, January 21, 2000.					
	BK	GenBank Database, Accession No. AC079780, February 21, 2002.					
	BL	GenBank Database, Accession No. AC092447, July 4, 2001.					
	BM	GenBank Database, Accession No. AF117108, January 26, 1999.					
	BN	GenBank Database, Accession No. AF198254, March 2, 2000.					
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
CA							
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION			
				YES NO			
CB							
<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
CC		GenBank Database, Accession No. AL023775, November 23, 1999.					
CD		GenBank Database, Accession No. BAB19755, December 20, 2000.					
CE		GenBank Database, Accession No. BAB27779, February 8, 2001.					
CF		GenBank Database, Accession No. BAB27848, February 8, 2001.					
CG		GenBank Database, Accession No. BC019258, December 19, 2001.					
CH		GenBank Database, Accession No. NM_006547, November 1, 2000.					
CI		GenBank Database, Accession No. NP_034081, January 25, 2000.					
CJ		GenBank Database, Accession No. NP_006537, August 10, 1999.					
CK		GenBank Database, Accession No. NP_006538, November 1, 2000.					
CL		GenBank Database, Accession No. NP_006539, August 10, 1999.					
CM		GenBank Database, Accession No. NP_571566, February 20, 2002.					
CN		GenBank Database, Accession No. NP_076159, February 19, 2001.					
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DA						
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY			TRANSLATION YES NO
DB						
<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
DC	GenBank Database, Accession No. U76705, January 26, 1999.					
DD	GenBank Database, Accession No. U97188, May 20, 1997.					
DE	Geneseq Database (Thomson Derwent), Accession AAL28189, January 24, 2002.					
DF	Geneseq Database (Thomson Derwent), Accession AAM93826, November 6, 2001.					
DG	Geneseq Database (Thomson Derwent), Accession AAT26750, October 23, 1996.					
DH	Geneseq Database (Thomson Derwent), Accession AAU16161, November 7, 2001.					
DI	Geneseq Database (Thomson Derwent), Accession AAU16163, November 7, 2001.					
DJ	Geneseq Database (Thomson Derwent), Accession AAU16164, November 7, 2001.					
DK	Geneseq Database (Thomson Derwent), Accession AAU16166, November 7, 2001.					
DL	Geneseq Database (Thomson Derwent), Accession AAU16579, November 7, 2001.					
DM	Geneseq Database (Thomson Derwent), Accession AAU16583, November 7, 2001.					
DN						
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